

## Energetics of slope flows: linear and weakly nonlinear solutions of the extended Prandtl model

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2 extended Prandtl model

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22 **Abstract**

23

24 The Prandtl model succinctly combines the 1D stationary boundary-layer dynamics and  
25 thermodynamics of simple anabatic and katabatic flows over uniformly inclined surfaces. It  
26 assumes a balance between the along-the-slope buoyancy component and adiabatic  
27 warming/cooling, and the turbulent mixing of momentum and heat. In this study, energetics of  
28 the Prandtl model is addressed in terms of the total energy ( $TE$ ) concept. Furthermore, since  
29 the authors recently developed a weakly nonlinear version of the Prandtl model, the  $TE$   
30 approach is also exercised on this extended model version, which includes an additional  
31 nonlinear term in the thermodynamic equation. Hence, interplay among diffusion, dissipation  
32 and temperature-wind interaction of the mean slope flow is further explored. The  $TE$  of the  
33 nonlinear Prandtl model is assessed in an ensemble of solutions where the Prandtl number, the  
34 slope angle and the nonlinearity parameter are perturbed. It is shown that nonlinear effects  
35 have the lowest impact on variability in the ensemble of solutions of the weakly nonlinear  
36 Prandtl model when compared to the other two governing parameters. The general behavior  
37 of the nonlinear solution is similar to the linear solution, except that the maximum of the  
38 along-the-slope wind speed in the nonlinear solution reduces for larger slopes. Also, the  
39 dominance of  $PE$  near the sloped surface, and the elevated maximum of  $KE$  in the linear and  
40 nonlinear energetics of the extended Prandtl model are found in the PASTEX-94  
41 measurements. The corresponding level where  $KE > PE$  most likely marks the bottom of the  
42 sublayer subject to shear-driven instabilities. Finally, possible limitations of the weakly  
43 nonlinear solutions of the extended Prandtl model are raised. In linear solutions, the local  
44 storage of  $TE$  term is zero, reflecting the stationarity of solutions by definition. However, in  
45 nonlinear solutions, the diffusion, dissipation and interaction terms (where the height of the  
46 maximum interaction is proportional to the height of the low-level jet by the factor  $\approx 4/9$ ) do  
47 not balance and the local storage of  $TE$  attains non-zero values. In order to examine the issue  
48 of non-stationarity, the inclusion of velocity-pressure covariance in the momentum equation is  
49 suggested for future development of the extended Prandtl model.

50

51 **Keywords:** katabatic flow, anabatic flow, Prandtl model, nonlinear solution, total energy

## 52 **1 Introduction**

53

54 Katabatic and anabatic winds are downslope and upslope flows that form when a  
55 density difference between the air near the slope and the nearby atmosphere develops at the  
56 same height. This type of flow is often observed in regions of complex orography and  
57 substantially affects the weather and climate in these regions (e.g., Poulos and Zhong, 2008).  
58 The topic of katabatic and anabatic wind is being actively explored and the work on its  
59 understanding includes the application of numerical models (direct numerical simulations  
60 (DNS): e.g., Shapiro and Fedorovich (2008); large eddy simulations (LES): e.g., Skillingstad  
61 (2003), Smith and Porté-Agel (2013); mesoscale models: e.g., Zammett and Fowler (2007),  
62 Smith and Skillingstad (2005) and analytical models (e.g., Prandtl, 1942; Defant, 1949;  
63 Grisogono and Oerlemans, 2001; Zardi and Serafin, 2014). Continued interest in katabatic and  
64 anabatic winds stems from the important effects of this type of orographic flows on visibility  
65 and fog formation, air pollutant dispersion, agriculture and energy use, fire-fighting  
66 operations, sea-ice formation, etc. (e.g., Shapiro and Fedorovich (2014) and references  
67 therein). Katabatic winds develop in stably stratified planetary boundary layers (PBLs),  
68 adding an additional level of complexity to the problem of understanding and modeling this  
69 specific type of PBLs (e.g., Mahrt, 1998; Mahrt, 2014; Sandu et al., 2013; Holtslag et al.,  
70 2013; Sun et al., 2015). In reality, a strong surface heat surplus may contribute to a high  
71 Rayleigh number and initiation of free convection over the horizontal plane (e.g., Princevac  
72 and Fernando 2007). This condition may limit the general applicability of the Prandtl model  
73 and its extensions to the case of anabatic flow for a large surface temperature surplus.  
74 However, Defant (1949) and Fedorovich and Shapiro (2009a, b) as well as several other  
75 authors, show clearly that the Prandtl model is applicable, at least qualitatively, to anabatic  
76 flow. Although the latter authors state that turbulent anabatic flows differ more, in a mean  
77 qualitative sense, from its Prandtl model version for katabatic flows, they still show and claim  
78 the overall applicability of the Prandtl model (at least qualitatively) to both flow types. In  
79 parallel to current theoretical and numerical modeling efforts, large observational campaigns  
80 and programs over complex orography should be of a high priority in order to better  
81 understand the nature of thermally driven slope flows (e.g., Poulos and Zhong, 2008; Grachev  
82 et al., 2015; Fernando et al., 2015).

83 In the model of Prandtl (1942), katabatic flow is the result of a balance between the  
84 along-slope buoyancy force and adiabatic warming/cooling, and normal-to-slope turbulent  
85 fluxes of momentum (i.e., friction) and heat (i.e., diffusion), respectively, in an otherwise

86 motionless and statically stable background atmosphere. This paper starts with the classical  
87 theoretical model of slope flows developed by Prandtl (1942), somewhat modified and  
88 verified by Defant (1949), who deployed it specifically for anabatic flow (see also Zardi and  
89 Whiteman, 2013), and an extended Prandtl model that includes weakly nonlinear effects as  
90 done in Grisogono et al. (2015). It includes the standard concepts of potential, kinetic and  
91 total energy, now for katabatic and anabatic flows. In the energetics framework, wind speed  
92 and temperature perturbations are linked in one equation (i.e., the total energy equation) and  
93 the conservation and conversion properties of energy components are of special concern in  
94 various research problems (e.g., the effect of turbulent mixing may be parameterized in terms  
95 of kinetic energy). The energy approach applied here is motivated by the total *turbulent*  
96 energy concept developed by e.g., Mauritsen et al. (2007), where kinetic energy is related to  
97 turbulent wind perturbations, while potential energy is related to turbulent potential  
98 temperature perturbations. In our case, we focus only on mean katabatic and anabatic flows  
99 that are present over sloped surfaces. The difference, when compared to Mauritsen et al.  
100 (2007), is in our focus *not* being on the turbulent part of the flow but on the wind and  
101 temperature finite amplitude deviations from the background state coming from  
102 katabatic/anabatic flows. In this sense, our approach is similar to the energy framework of  
103 katabatic winds applied by Smith and Skillingstad (2005). While Smith and Skillingstad  
104 (2005) define kinetic energy in the same way as Mauritsen et al. (2007), their potential energy  
105 is defined as a linear function of both temperature perturbations and the height above the  
106 slope. Although there are some differences in the literature concerning the definition of  
107 potential energy, it is typically a function of potential temperature perturbations. Potential  
108 temperature perturbations, under the assumptions of hydrostatic and adiabatic motion, include  
109 the effects of absolute temperature perturbations and changes in the distance from the surface  
110 (e.g., DeCaria, 2007). The total energy is then the sum of kinetic and potential contributions.

111 We limit ourselves only to the linear and weakly nonlinear solution of the (extended)  
112 Prandtl model. A detailed description of the extended Prandtl model is presented in Grisogono  
113 et al. (2015; their Section 2). The new term that extends the original Prandtl model is  
114 presumably weak and regulated by the nonlinearity parameter  $\varepsilon$ . Our approach is relatively  
115 simple and general, and may be applied to solutions of Prandtl-type models that include 3D  
116 effects (e.g., Burkholder et al., 2009; Shapiro et al., 2012), effects of the Coriolis force (e.g.,  
117 Stiperski et al., 2007), time-dependent types of solutions (e.g., Zardi and Serafin, 2014),  
118 effects of vertically varying turbulent mixing coefficients (e.g., Grisogono and Oerlemans  
119 2001; Grisogono et al., 2015), etc. To sum up, this study combines the work of Mauritsen et

120 al. (2007) and Grisogono et al. (2015), i.e., the energy concept and weak nonlinearity,  
121 respectively, to shed more light on the physics of simple slope flows.

122 This study is independent but based on the work of Grisogono et al. (2015). There it  
123 was shown that with the weakly nonlinear Prandtl model one obtains solutions with stronger  
124 near-surface stratification and weaker katabatic wind speed (with both constant and variable  
125 eddy heat conductivity). However, although more realistic, the solutions of the weakly  
126 nonlinear model were not superior to the linear solutions when compared to limited  
127 observations. The nonlinearity affected low-level jet strength and elevation in katabatic, but  
128 also anabatic, flows. In anabatic flow, in contrast to katabatic flow, it enhanced the low-level  
129 jet. The consequences of the introduced nonlinearity on the model energetics will be explored  
130 in this paper.

131 The goal of this study is to evaluate an ensemble of linear and weakly nonlinear  
132 solutions of the (extended) Prandtl model for katabatic and anabatic flows, and to examine the  
133 model energetics related to these solutions. In order to explore the sensitivity of our results to  
134 several model assumptions, we present a set of solutions where three governing parameters  
135 are perturbed: (1) the turbulent Prandtl number  $Pr$ , (2) the slope angle  $\alpha$ , and (3) the so-called  
136 nonlinearity parameter  $\varepsilon$  as defined in Grisogono et al. (2015). We will present certain  
137 characteristics of the solutions of the Prandtl model, the vertical profiles of kinetic  $KE$ ,  
138 potential  $PE$  and total energy  $TE$ , and the governing terms in the total energy  $TE$  equation.

139 The structure of the paper is as follows. In Section 2 we present the governing  
140 equations of our model and define the ensemble of solutions. In Section 3, the solutions of the  
141 (extended) Prandtl model are described, with a specific focus on the variability in the  
142 ensemble of solutions and impacts on the model energetics. Some specific differences  
143 between the nonlinear and linear solutions, as well as the limitations of our extended Prandtl  
144 model are discussed in Section 4. The paper is finalized in Section 5, where the summary and  
145 outlook are presented.

## 146 2 Methodology

147

148 We first present the governing equations of the Prandtl model and develop a simple,  
149 basic energy framework where wind and potential temperature are linked with the concepts of  
150 kinetic, potential and total energy. The full description of the system would include the energy  
151 components of not only the mean slope flow, but of the background atmosphere and the  
152 turbulent part of the slope flow, and their interactions. We limit our analysis only to the part  
153 of the slope flow described by the Prandtl model, i.e., the mean slope flow with relatively  
154 large eddy diffusivity and conductivity; hence, the model may emulate a simple turbulent  
155 slope flow (Defant, 1949; Stiperski et al., 2007; Grisogono et al., 2015).

156

### 157 2.1 Governing equations

158

159 Potential temperature and wind can be decomposed into  $\theta = \theta_r + \bar{\theta} + \theta'$  and  $u = u_r +$   
160  $\bar{u} + u'$ , where  $\theta_r = \theta_0 + \gamma z$  is the potential temperature of the background atmosphere having  
161 the vertical gradient  $\gamma$  (in true vertical coordinate  $Z$ ), and  $\theta_0$  is the surface potential  
162 temperature in a statically stable background atmosphere (e.g., Zardi and Serafin, 2014). The  
163 background atmosphere is motionless:  $u_r = 0$ . Next,  $u'$  and  $\theta'$  are turbulent perturbations of  
164 the wind speed and potential temperature of the slope flow, while  $\bar{u}$  and  $\bar{\theta}$  present the mean  
165 finite-amplitude wind speed and potential temperature (here, averaging is defined in the  
166 Reynolds sense).

167 The governing equations of the Prandtl model, including the weak nonlinearity  
168 extension (without invoking the steady-state assumption for the moment) are:

169

$$\frac{\partial \bar{u}}{\partial t} = g \frac{\bar{\theta}}{\theta_0} \sin(\alpha) + KPr \frac{\partial^2 \bar{u}}{\partial z^2}$$

170 , (Eq. 1)

$$\frac{\partial \bar{\theta}}{\partial t} = - \left( \gamma + \varepsilon \frac{\partial \bar{\theta}}{\partial z} \right) \bar{u} \sin(\alpha) + K \frac{\partial^2 \bar{\theta}}{\partial z^2}$$

171 , (Eq. 2)

172

173 where  $g$  is acceleration due to gravity,  $K$  is the eddy heat conductivity,  $Pr$  is the turbulent  
174 Prandtl number (all assumed constant in this study), and  $z$  is the coordinate perpendicular to  
175 the constant slope surface with the slope angle  $\alpha$ . Parameter  $\varepsilon$  controls the feedback of the

176 flow-induced potential temperature gradient on to the corresponding background gradient,  $\gamma$ ,  
 177 because the former, below the low-level jet, can be 20-50 times stronger (in the absolute  
 178 sense) than the latter (e.g., Grisogono and Oerlemans, 2001; Grisogono et al., 2015).  $\varepsilon$  is an  
 179 external parameter, roughly limited by the model input parameters, not by the model  
 180 dynamics, and it pertains to the regular perturbation analysis used here (Bender and Orszag,  
 181 1978; Grisogono et al. 2015; see also *Supplementary Materials 1* in this study). After  
 182 multiplying Eq. (1) by  $\bar{u}$ , multiplying Eq. (2) by  $\bar{\theta} g/(\theta_0 \gamma)$  or  $\bar{\theta} a$ , and adding the resulting two  
 183 equations, the energy equation of the extended Prandtl model is attained:

$$\frac{\partial}{\partial t} \left( \frac{\bar{u}^2 + a\bar{\theta}^2}{2} \right) = \underbrace{K \frac{\partial^2}{\partial z^2} \left( \frac{Pr\bar{u}^2 + a\bar{\theta}^2}{2} \right)}_{DIF} - \underbrace{K \left[ Pr \left( \frac{\partial \bar{u}}{\partial z} \right)^2 + a \left( \frac{\partial \bar{\theta}}{\partial z} \right)^2 \right]}_{DIS} - \underbrace{a\varepsilon \sin(\alpha) \left( \bar{u} \bar{\theta} \frac{\partial \bar{\theta}}{\partial z} \right)}_{INT}$$

185 , (Eq. 3)

186

187 where the left side term is the local storage term of  $TE$  of the mean slope flow defined as the  
 188 sum of kinetic  $KE = \bar{u}^2/2$  and potential energy  $PE = a\bar{\theta}^2/2$  per unit mass (cf. Smith and  
 189 Skillingstad, 2005; and Mauritsen et al., 2007). The three terms on the right side are  
 190 described as diffusion ( $DIF$ ), dissipation ( $DIS$ ) and interaction ( $INT$ ) terms:  $DIF$  represents the  
 191 diffusion of  $TE$  by the turbulent flow,  $DIS$  represents the dissipation of  $TE$ , and  $INT$  represents  
 192 the interaction of the slope flow with the background atmosphere in the case of the weakly  
 193 nonlinear model. Note that  $INT$  is equal to  $\varepsilon \sin(\alpha) \left( \bar{u} \frac{\partial PE}{\partial z} \right)$ , which can be interpreted as the  
 194 slope-normal (i.e., nearly vertical) transport of potential energy. This term does not exist in  
 195 the linear model.

196 Four types of steady-state solutions of Eqns. 1 & 2 are analyzed in Grisogono et al.  
 197 (2015). They include linear and weakly nonlinear solutions with turbulent mixing coefficients  
 198 either constant or vertically varying. In this paper, a subset of these solutions is analyzed  
 199 (from now on, the overbar is removed from potential temperature  $\bar{\theta}$  and wind speed  $\bar{u}$  of  
 200 katabatic/anabatic flow): (1) the linear solution with the constant turbulent diffusivity profile  
 201  $\theta_{LIN}$  and  $u_{LIN}$ , and (2) the nonlinear solution with the constant turbulent diffusivity profile  
 202  $\theta_{NOLIN}$  and  $u_{NOLIN}$ . Initial results concerning the vertical variability of  $K$  and its impact on  
 203 energy distribution show sensitivity to the formulation of  $K(z)$  and strong non-stationarity  
 204 even in the linear case; thus, a detailed analysis of this subset of solutions is left for future

205 study. For simplicity, here we show only the classical solutions of the Prandtl model  $\theta_{LIN}$  and  
 206  $u_{LIN}$  (for the nonlinear solutions please refer to Grisogono et al. (2015)):

207

$$\theta_{LIN} = C \exp\left(\frac{-z}{h_p}\right) \cos\left(\frac{z}{h_p}\right)$$

208 , (Eq. 4)

$$u_{LIN} = -\mu C \exp\left(\frac{-z}{h_p}\right) \sin\left(\frac{z}{h_p}\right)$$

209 , (Eq. 5).

210

211 Following, e.g., Grisogono et al. (2015),  $C$  is the surface potential temperature deficit  
 212  $\theta_{LIN}(z=0) = C < 0$  for the katabatic flow (or the corresponding temperature surplus in  
 213 anabatic flow  $\theta_{LIN}(z=0) = C > 0$ ),  $\mu = [g / (\gamma \theta_0 Pr)]^{1/2}$ ,  $h_p = 2^{1/2} / \sigma$  ( $h_p$  can be interpreted as a  
 214 characteristic depth of the Prandtl layer),  $\sigma = [N \sin(\alpha) / (K Pr^{1/2})]^{1/2}$  ( $\sigma$  can be interpreted as a  
 215 characteristic inverse length scale),  $N^2 = \gamma g / \theta_0$  is background buoyancy frequency squared,  
 216 and  $K$  is the average eddy heat conductivity (in our case  $K = \text{const}$  in Eq. 1 and Eq. 2). The  
 217 slope flow is assumed to be no-slip (i.e.,  $u_{LIN}(z=0) = 0$ ).

218 In the case of linear and stationary flow, Eq. 3 simplifies to:

219

$$0 = \frac{\partial^2}{\partial z^2} \left( \frac{Pr u_{LIN}^2 + a \theta_{LIN}^2}{2} \right) - \left[ Pr \left( \frac{\partial u_{LIN}}{\partial z} \right)^2 + a \left( \frac{\partial \theta_{LIN}}{\partial z} \right)^2 \right]$$

220 , (Eq. 6)

221 and one can easily check the equality by inserting Eq. 4 & 5 into Eq. 6. In the rest of the  
 222 paper, we determine vertical derivatives using finite differences in the case of both linear and  
 223 weakly nonlinear types of solution.

224

## 225 2.2 Ensemble of solutions

226

227 We evaluate the sensitivity of our solutions to the slope angle  $\alpha$ , the value of the  
 228 Prandtl number  $Pr$  and the nonlinearity parameter  $\varepsilon$ . Based on Grisogono et al. (2015), the  
 229 basic values of these model parameters are  $\alpha = -0.1$  rad,  $Pr = 2$  and  $\varepsilon = 0.005/0.03$   
 230 (katabatic/anabatic flow) where the justification of these parameter choices is discussed in  
 231 more detail by Grisogono et al. (2015). The starting values of all three parameters are taken  
 232 from Grisogono et al. (2015), where linear and nonlinear solutions reproduced well the

233 observations from the PASTEX-94 experiment (van den Broeke, 1997a, 1997b; Oerlemans  
234 and Grisogono, 2002). An ensemble of solutions is generated by evaluating them for this  
235 basic set of parameters and also when they change in amplitude by  $\pm 25\%$  (this adds up to 27  
236 solutions in the case of nonlinear katabatic and anabatic flows, and 9 solutions in the case of  
237 linear katabatic and anabatic flows). This ensemble will be used to examine the sensitivity of  
238 our solutions to moderate variations in the basic model assumptions. Other model parameters  
239 follow those from Grisogono et al. (2015):  $\gamma = 3 \text{ K/km}$ ,  $\theta_o = 273.2 \text{ K}$ ,  $C = -6 \text{ K}$  (+6 K) in  
240 katabatic (anabatic) flow, and  $K = 0.06 \text{ m}^2/\text{s}$  ( $3.0 \text{ m}^2/\text{s}$ ) in katabatic (anabatic) flow.

Provisional

## 241 3 Results

242

### 243 3.1 Katabatic flow

244

#### 245 (a) Linear case

246

247 The vertical profiles of  $\theta_{LIN}$  and  $u_{LIN}$  for katabatic flow are shown in Fig. 1A. The  
248 potential temperature profile reveals a statically stable profile, with  $\theta_{LIN}$  increasing in the first  
249 30 m above the slope. At the same time,  $u_{LIN}$  starts from the no-slip condition at the sloped  
250 surface, attains a local maximum (i.e., a low-level jet is formed at the height  $h_j$ ) and slows  
251 progressively upwards. The corresponding vertical profiles of kinetic  $KE$ , potential  $PE$  and  
252 total  $TE=KE+PE$  energy for the katabatic flow in the case of the linear solution are shown in  
253 Fig. 1B. Near the surface,  $TE$  is dominated by  $PE$  and surface forcing (quantified through the  
254 surface temperature deficit  $C$ ). There is a perfect balance between  $DIF$  and  $DIS$  in the energy  
255 budget, Fig. 1C. The wind speed  $u_{LIN}$  profile leads to a corresponding kinetic energy profile  
256 with its maximum in the first 15 m. We proceed next with the evaluation of the sensitivity of  
257 the ensemble of solutions for the katabatic flow described by the linear model.

258 The following three heights are of interest to us:

- 259 (1) The height of the low-level jet  $h_j$ . This is the maximum of  $u(z)$  which occurs at  $h_j=\pi/4$   
260  $h_p$  in the linear solutions, i.e., it increases with increasing  $Pr$  and decreases with an  
261 increasing slope (see also, Fig. 1D). At the same time, the maximum  $u_{LIN}$  is  
262 *insensitive* to the slope angle and decreases with increasing  $Pr$  (this can be shown by  
263 inserting  $h_j$  in Eq. 5) as is confirmed in Fig. S3.1-A (please note that lines are shifted  
264 by the amount  $\pm 0.5$  from the reference slope angle for presentation purposes).
- 265 (2) The depth of the stable (in the anabatic case, unstable) layer. At the top of the stable  
266 layer  $d\theta/dz=0$ , and this height equals  $3 h_p$ . It also increases with increasing  $Pr$  and  
267 decreases with an increasing slope in the linear solution (Fig. 1E).
- 268 (3) The level where  $KE$  starts to dominate over  $PE$  ( $TE$  is primarily governed by  $PE$   
269 close to the surface, while  $KE$  becomes larger than  $PE$  somewhere above  $h_j$ ). For the  
270 linear solutions of katabatic (and anabatic) flow, one can show (by setting the  
271 condition  $KE/PE=1$ ) that the height where  $KE$  starts to dominate equals  $h_p \cos^{-1}$   
272  $([1/(1+Pr)]^{1/2})$ ; i.e., it also increases with increasing  $Pr$  and decreases with an  
273 increasing slope angle (Fig. 1F). This level is directly linked, though in a nonlinear  
274 way, to the gradient Richardson number, which is significantly smaller than 1, and

275 the consequent onset of dynamic flow instabilities (e.g., Grisogono 2003). At the  
276 same time, the amplitude at which  $KE$  starts to dominate is *insensitive* to the choice  
277 of slope (Fig. S3.1-E; lines are shifted by the amount  $\pm 0.5$  from the reference slope  
278 angle for presentation purposes). This behavior of the  $KE$  is by definition directly  
279 linked to the behavior of  $u_{LIN}$ . More details about this measure are presented in  
280 *Supplementary Materials 2*.

281

282 (b) Nonlinear case

283

284 The deviation of the nonlinear from the linear solution for katabatic flow is presented  
285 in Fig. 2. The general characteristics of  $\theta_{NOLIN}$  and  $u_{NOLIN}$  profiles are equivalent to  $\theta_{LIN}$  and  
286  $u_{LIN}$ , and their corresponding  $KE$ ,  $PE$  and  $TE$  profiles are also similar. The nonlinear solution  
287 has slightly lower wind speeds and higher potential temperature (i.e., lower potential  
288 temperature anomalies; Fig. 2A) and this leads to lower  $KE$ ,  $PE$  and  $TE$  (Fig. 2B). However,  
289 the vertical profiles of  $DIS$  and  $DIF$  do not overlap as in linear cases and are slightly larger in  
290 the nonlinear case (Fig. 2C). Also, in the nonlinear case, the interaction term  $INT$  is present.  
291 Its amplitude is much lower than the other two governing terms in the energy equation. More  
292 importantly, the  $TE$  storage term is non-zero and this will be discussed later, in Section 4.

293 We also examine the sensitivity of the nonlinear solution to the choices of  $Pr$  and  $\alpha$ .  
294 Additionally, we examine the impact of the nonlinearity term  $\varepsilon$ , starting with  $\varepsilon_0=0.005$  and  
295 modifying this value by  $\pm 25\%$ . Nonlinear effects have the lowest impact on variability in the  
296 ensemble of 27 solutions of the weakly nonlinear Prandtl model when compared to the other  
297 two governing parameters (Fig. 2D-F). The general behavior of the nonlinear solution is  
298 similar to that of the linear solution, except that the maximum  $u_{NOLIN}$  (and the corresponding  
299 maximum  $KE$ ) is moderately reduced for larger slopes (while the maximum  $u_{LIN}$  is constant;  
300 cf. Fig. S3.1 A,E vs. Fig. S3.2 B-F). This aspect of the low-level jet in the nonlinear solution  
301 is shared by LES simulations in e.g., Grisogono and Axelsen (2012) and will be explored in  
302 future studies. The increase in  $\varepsilon$  reduces all three heights (Fig. 2D-F) and amplitudes of the  
303 maximum wind speed and  $KE$  (Fig. S3.1-B,F).

304

305 *3.2 Anabatic flow*

306

307 In this subsection we present a general overview of anabatic flow solutions from the  
308 linear and weakly nonlinear Prandtl model. The main difference when compared to katabatic

309 flow is the existence of the surface temperature surplus that induces the anabatic flow (now  
310 +6 K; cf. Defant, 1949). This change in the surface boundary condition is related to the  
311 corresponding increase in eddy heat conductivity from  $K = 0.06 \text{ m}^2/\text{s}$  to  $K = 3.0 \text{ m}^2/\text{s}$  and the  
312 increase of the nonlinearity parameter from  $\varepsilon = 0.005$  to  $\varepsilon = 0.03$ , as explained in Grisogono  
313 et al. (2015): since  $\max(\varepsilon) \sim \gamma h_p / |C|$ , then  $\varepsilon_{Anabatic} / \varepsilon_{Katabatic} \sim (K_{Anabatic} / K_{Katabatic})^{1/2}$ . With this  
314 choice of  $\varepsilon$ , perturbations to the linear solution are present, but the general structure of the  
315 solution does not change. Although anabatic upslope winds are generally deeper than typical  
316 katabatic flows, in our comparisons the same amplitude of potential temperature deviations at  
317 the surface is set so that the same potential energy of the slope flow  $PE$  is found at the  
318 surface. This is also reflected in the similar range of amplitudes of the analyzed measures in  
319 subsections 3.1 and 3.2, but for anabatic flow the maximum values of the analyzed heights are  
320 typically an order of magnitude larger.

321

322 (a) Linear case

323

324 The vertical profiles of the upslope wind  $u_{LIN}$ , potential temperature deviations  $\theta_{LIN}$ ,  
325  $KE$ ,  $PE$  and  $TE$ , and, finally, the terms in the total energy equation related to diffusion  $DIF$ ,  
326 dissipation  $DIS$  and local storage  $\partial TE / \partial t$  of  $TE$  are shown in Fig. 3 A-C. All vertical profiles  
327 are equivalent to their katabatic counterpart in terms of the general structure (cf. Fig. 1). The  
328 sensitivity of the low-level jet height, the level where the change in the local static stability  
329 occurs, and the level where  $KE$  starts to dominate over  $PE$  are equivalent to those in the linear  
330 katabatic case (cf. Fig. 1 D-F vs. Fig. 3 D-F).

331

332 (b) Nonlinear case

333

334 The nonlinear solution of anabatic flow is described in this subsection. When  
335 compared to its katabatic counterpart, the vertical profiles of along-the-slope wind speed and  
336 potential temperature have the same general structure and this is also the case for kinetic,  
337 potential and total energy of the nonlinear vs. linear solution. However, all three energy  
338 components ( $KE$ ,  $PE$  and  $TE$ ) are increased in the nonlinear anabatic solution, when compared  
339 to the linear solution (Fig. 4B). This is a consequence of the increased wind speeds (in  
340 absolute terms) and increased potential temperature of anabatic flow (Fig. 4A). As for the  
341 linear case of anabatic flow, the nonlinear anabatic flow is extended over a deeper layer, so  
342 both the low-level jet and inversion height are higher than in the corresponding katabatic

343 flow. As discussed later, the increase in the basic  $\varepsilon$  up to  $\varepsilon_0 = 0.03$  is the reason for the  
344 substantial rise in the magnitude of the interaction  $INT$  and total energy  $TE$  local storage terms  
345  $\partial TE/\partial t$  (Fig. 4C). In contrast to katabatic flow, the  $TE$  diffusion  $DIF$  now departs from the  
346 dissipation  $DIS$  towards lower values. Also, while in katabatic flow the small amplitude of  
347  $INT$  and the imbalance between  $DIF$  and  $DIS$  makes  $\partial TE/\partial t$  become non-zero, in anabatic  
348 flow it is the sign and amplitude of the interaction term  $INT$  that dominates the production of  
349  $TE$ .

350 The sensitivity of the selected height measures to  $Pr$  and slope angle is the same as for  
351 the linear anabatic case (and also for both katabatic types of solutions; Fig. 4 D-F). The main  
352 difference is found concerning the selection of  $\varepsilon$ . In contrast to the katabatic nonlinear case, in  
353 the anabatic nonlinear case the increase in  $\varepsilon$  leads to: (1) a rise in the low-level jet height and  
354 speed (Fig. 4D), (2) a rise in the inversion height (in anabatic flow a transition occurs from  
355 statically unstable to stable conditions) that is not substantial for the selected range of control  
356 parameters (Fig. 4E), (3) low sensitivity of the height where  $KE$  dominates over  $PE$  to the  
357 nonlinearity parameter  $\varepsilon$  (which can be neglected for the purposes of this study; Fig. 4F).

358 Common to all previous solutions, while the maximum in  $KE$  is attained at levels of  
359 maximum along-the-slope wind speed,  $KE$  becomes larger than  $PE$  above this level of  
360 maximum  $KE$  (cf. Fig. 4F vs. Fig. 5D). At the same time, the amplitude at which  $KE$  starts to  
361 dominate *increases* slightly as the slope increases (Fig. S3.1-H). The increase in  $\varepsilon$  also  
362 *increases* the amplitude of  $KE$  where it becomes larger than  $PE$  (in contrast to katabatic  
363 nonlinear flow), and this sensitivity to  $\varepsilon$  is comparable to the sensitivity to the slope angle  $\alpha$   
364 (Fig. S3.1-H). In summary,  $KE$  dominates over  $PE$  above  $h_p \cos^{-1}[1/(1+Pr)^{1/2}]$  and this height  
365 is usually between  $h_j$  and  $2 h_j$ . It is related to the corresponding gradient Richardson number,  
366 which compares the vertical gradients of  $PE$  vs.  $KE$ . When the Richardson number falls  
367 substantially below 1, dynamic instabilities might occur in the corresponding sublayer (see  
368 also *Supplementary Materials 2*).

369

### 370 *3.3 Energetics: katabatic and anabatic flows*

371

372 The potential energy maximum ( $PE_{max}$ ) and total energy maximum ( $TE_{max}$ ) are  
373 found at the lowest level in linear and nonlinear solutions for both anabatic and katabatic  
374 flows (insensitive to the choice of  $\alpha$ ,  $Pr$  and  $\varepsilon$ ). Also, the amplitude of  $PE_{max}$  and  $TE_{max}$   
375 equals 215.4 J/kg in all cases (Fig. 1-4, panel B). The same amplitude of  $PE_{max}$  and  $TE_{max}$

376 in both katabatic and anabatic flows is a result of the same temperature anomaly at the surface  
377 (but with a different sign, i.e.,  $\pm 6$  K in this study).

378 At the same time, the kinetic energy maximum ( $KE_{max}$ ) is sensitive to choices in our  
379 parameter space and set of solutions (Fig. 5). The height of  $KE_{max}$  (equivalent to the low-  
380 level jet height  $h_j$ ) increases when  $Pr$  varies from  $Pr=1.5$  to  $Pr=2.5$ , and it decreases when  $|\alpha|$   
381 is increased (Fig. 5A-D). In the case of katabatic flow, the height of  $KE_{max}$  is within a similar  
382 range for both the linear (Fig. 5A) and nonlinear case (the solutions are only slightly sensitive  
383 to  $\varepsilon$ ; Fig. 5B). In the case of anabatic flows, a similar structure of solutions is found, only over  
384 deeper layers than for katabatic flows (Fig. 5C). While all solutions behave in a consistent  
385 way with respect to  $Pr$  and  $\alpha$ , there is a contrasting response to the increase in  $\varepsilon$  in nonlinear  
386 solutions: as  $\varepsilon$  is increased, the height and amplitude of  $KE_{max}$  reduce in katabatic flow (Fig.  
387 5B,F), while they rise in anabatic flow (Fig. 5D,H). The latter contrast occurs because the  
388 low-level jet height and amplitude, i.e.,  $h_j$  and  $u_{max}$ , show a similar sensitivity to  $\varepsilon$ . Grisogono  
389 et al. (2015) showed that an  $\varepsilon$  increase leads to an  $h_j$  and  $u_{max}$  decrease in the nonlinear  
390 katabatic solution, and an  $h_j$  and  $u_{max}$  increase in the nonlinear anabatic solution.

391 The amplitude of  $KE_{max}$  in linear solutions (both katabatic and anabatic) is the only  
392 function of the  $Pr$  (it collapses to approximately the same values for different slopes and,  
393 more interestingly, the same structure is present for both katabatic and anabatic solutions; Fig.  
394 5 E,G; for presentation purposes the lines are shifted  $\pm 0.5$  from the reference slope angle).  
395 However, in the nonlinear case, sensitivity to all three parameters is present: (1) the *reduction*  
396 of  $KE_{max}$  with increasing  $Pr$ , which is classical Prandtl model behavior, (2) the *reduction* of  
397  $KE_{max}$  when increasing  $\alpha$  and  $\varepsilon$  in katabatic flow (Fig. 5F), as explained just above, but (3) an  
398 *increase* in  $KE_{max}$  when increasing the nonlinearity in anabatic flow (Fig. 5H). The  
399 sensitivity of  $KE_{max}$  to  $Pr$  and  $\alpha$  is expected from the formulation of  $KE_{max}$  in the linear  
400 solution (Eq. 5) and the similarity of the linear and nonlinear vertical profiles.

401 The diffusion maximum ( $DIF_{max}$ ) and dissipation maximum ( $DIS_{max}$ ) are found at  
402 the surface level in linear and nonlinear solutions for both anabatic and katabatic flows  
403 (insensitive to the choice of  $\alpha$ ,  $Pr$  and  $\varepsilon$ ). This is the simple consequence of the more intense  
404 wind and temperature vertical changes near the slope surface. In contrast to  $PE_{max}$  and  
405  $TE_{max}$ , and similar to  $KE_{max}$ , the amplitude of both  $DIF_{max}$  and  $DIS_{max}$  is sensitive to the  
406 Prandtl number  $Pr$ , slope angle  $\alpha$  and the nonlinearity parameter  $\varepsilon$ :  $DIF_{max}$  and  $DIS_{max}$  (1)  
407 *reduce* when  $Pr$  increases, (2) *increase* when the slope increases, and (3) are only slightly  
408 sensitive to increasing  $\varepsilon$ .  $DIF_{max}$  and  $DIS_{max}$  vary in a common range in the linear and  
409 nonlinear solutions for both anabatic and katabatic flows (Fig. S3.2).

410 In the case of nonlinear solutions for anabatic and katabatic flow, the additional  
 411 interaction term is present. Both the amplitude and height of the interaction term maximum  
 412  $INT_{max}$  are functions of all three parameters (see Fig. 6 A,B for  $INT_{max}$  height and Fig. 6 E,F  
 413 for  $INT_{max}$  amplitude). The sensitivity of the amplitude and height of  $INT_{max}$  shows a  
 414 behavior similar to  $KE_{max}$ : in katabatic flow, the height of  $INT_{max}$  varies from ~3 m to ~5  
 415 m, while in anabatic flow from ~28 m to ~43 m. Also,  $INT_{max}$  varies from  $\sim 1 \cdot 10^{-3}$  J/kg/s to  
 416  $\sim 4 \cdot 10^{-3}$  J/kg/s in katabatic flow, while it is negative and varies from  $\sim -0.22$  J/kg/s to  $\sim -0.04$   
 417 J/kg/s in anabatic flow. Also, by examining the maximum of the triple product in  $INT$  (Eq. 3),  
 418 one can estimate the height where  $INT_{max}$  occurs: this is approximately  $4/9 h_j$  (this result can  
 419 be derived by using the linear solutions to find numerically the local maximum of the triple  
 420 product; a more precise estimation would include the use of the nonlinear solutions  $u_{NOLIN}$  and  
 421  $\theta_{NOLIN}$ ). This means that  $INT_{max}$ , i.e., the maximum of the slope-normal transport of potential  
 422 energy, occurs at about  $1/2 h_j$ , which is one of the new results of this study.

423 The last quantity examined in this subsection is the tendency of total energy  $\partial TE/\partial t$ . In  
 424 linear solutions for anabatic and katabatic flow, this quantity is zero, reflecting the stationarity  
 425 of our solutions by definition. However, in nonlinear solutions, the diffusion, dissipation and  
 426 interaction terms do not balance, so  $\partial TE/\partial t$  can attain non-zero values. For nonlinear katabatic  
 427 flow, and based on the specific selection of model parameters, maximum values of  $\partial TE/\partial t$   
 428 range from  $\sim 0.01$  J/kg/s to  $\sim 0.07$  J/kg/s at heights reaching from ~3 m to ~5 m (Fig. 6C,G).  
 429 The amplitude/height of maximum  $\partial TE/\partial t$  in the katabatic solution decreases/increases with  
 430 increasing  $Pr$ , increases/decreases with increasing  $\alpha$  (because  $|INT| \sim |\alpha|$ ), and  
 431 increases/decreases with increasing  $\varepsilon$  (Fig. 6C,G). For the nonlinear anabatic flow, maximum  
 432 values of  $\partial TE/\partial t$  range from  $\sim 0.03$  J/kg/s to  $\sim 0.15$  J/kg/s at heights ranging from ~30 m to ~45  
 433 m (Fig. 6D,H). The amplitude and height of maximum  $\partial TE/\partial t$  in the anabatic solution behave  
 434 in a similar manner as in their katabatic counterpart (Fig. 6D,H). The only difference is found  
 435 for the case of the height of maximum  $\partial TE/\partial t$ , where now an  $\varepsilon$  increase is linked with a  
 436  $\partial TE/\partial t$  increase. Again, non-zero profiles of  $\partial TE/\partial t$ , due to nonlinearity, imply that the  
 437 stationarity of solutions is not satisfied, and depends on the joint effect of  $DIF$ ,  $DIS$  and  $INT$   
 438 terms.

#### 439 4 Discussion

440

441 In this section, we briefly discuss some of the results where references to LES studies  
442 and the issue of the non-stationarity present in our weakly nonlinear solutions are addressed.

443 The reduction of  $h_j$  with an increasing slope is a well-known feature of katabatic flows  
444 (in both LES results and the Prandtl model, see e.g., Grisogono and Axelsen, 2012). Also,  
445 with increasing  $Pr$ , katabatic flow is characterized by an increase in the momentum mixing  
446 when compared to the heat mixing, pushing and spreading the low-level jet upwards. At the  
447 same time, the maximum  $u_{LIN}$  is *insensitive* to the choice of slope angle but reduces for  
448 increasing  $Pr$  (Fig. S3.1-A; cf. Grisogono and Axelsen, 2012). However, in LES simulations  
449 (in contrast to the classical Prandtl model) the maximum  $u$  reduces with an increasing slope  
450 angle. This is also found in the nonlinear solution of our extended Prandtl model. Future  
451 studies may explore the behavior of the LES and nonlinear solutions in detail.

452 Conceptually, there are no crucial differences (besides the vertical extent) in  $KE$ ,  $PE$   
453 and  $TE$  in anabatic and katabatic flows, since all energy measures are quadratic quantities and  
454 the same amplitude of the temperature deficit/surplus is set as a lower boundary condition.  
455 For both the anabatic and katabatic nonlinear solutions, variability due to perturbations in  $\varepsilon$  is  
456 lower than variability due to the  $Pr$  and  $\alpha$ . The actual range of  $\varepsilon$  is discussed in detail in  
457 Grisogono et al. (2015; their subsection 2.3). In short, the value of  $\varepsilon$  should not introduce first-  
458 order corrections that modify the general structure of the zero-order solutions, and this is also  
459 confirmed by our study in terms of  $TE$ ,  $PE$  and  $TE$ .

460 Another important difference between the linear and nonlinear katabatic (and anabatic)  
461 solutions is the non-zero  $\partial TE/\partial t$  in the nonlinear case. In terms of the interaction between  
462 wind speed and potential temperature with the background atmosphere, the absolute value of  
463 the interaction term, i.e.,  $|INT|$  decreases with increasing  $Pr$ . This indicates a weaker coupling  
464 between the turbulent mixing of momentum and heat, i.e., a decrease of the slope-normal  
465 transport of potential energy; hence, the covariance between wind speed and temperature in  
466  $INT$  weakens (note that the latter term is made of a triplet product). At the same time, as  $|INT|$   
467 decreases with increasing  $Pr$ ,  $\partial TE/\partial t$  also weakens with increasing  $Pr$ . The existence of non-  
468 zero  $\partial TE/\partial t$  in the nonlinear solution indicates deviations from stationarity of the total energy  
469 in the system, and reflects a leakage of energy from the background atmosphere to slope  
470 flows. It may be expected that in a more realistic flow there would be interplay among the  
471 energy terms, yielding a quasi-periodic behavior and generation of waves (most jets are  
472 unstable to small perturbations). In a more realistic model, which would allow not only for

473 time dependency but also for vertical velocity - pressure covariance, the kind of imbalance  
474 that we found in this study would immediately generate wave-like perturbations (e.g.,  
475 Largeron et al., 2007; Stiperski et al., 2007; Zhong and Whiteman, 2008; Axelsen, 2010; Sun  
476 et al., 2015). Furthermore, this suggests that an extended and more comprehensive model than  
477 that presented in Grisogono et al. (2015) should allow for time dependency and/or velocity -  
478 pressure covariance. Also, slight to moderate imbalance among the energy terms in this  
479 nonlinear model may suggest that there is perhaps no real steady-state nonlinear slope flow;  
480 thus, excursions from pure steadiness could occur in nonlinear thermally driven flows. To add  
481 a point, Axelsen (2010; his Figs. 3.5 and 3.6) shows with an LES that pure katabatic flow is  
482 unsteady even under idealized conditions (constant slope, etc.). In his idealized simulation,  
483 internal and external gravity-wave modes are launched from the low-level katabatic jet. In  
484 short, the existence of non-stationarity in the nonlinear solution may reflect real non-  
485 stationarity in nonlinear models, LES simulations and observations, and/or limitations in the  
486 extended Prandtl model, where for the latter an inclusion of the additional nonlinear term in  
487 the momentum equation might close the energy budget. Again, this will require future study.

488 Lastly, the question is how the results of this study are comparable to the real  
489 atmosphere. While high-resolution observations over long gentle slopes and specific  
490 background atmospheric conditions are hard to acquire, we estimate  $KE$ ,  $PE$  and  $TE$  from the  
491 PASTEX-94 observations of glacier wind (van den Broeke, 1997a, 1997b; Oerlemans and  
492 Grisogono, 2002; Fig. S3.3). These results should only be considered indicative, but they do  
493 show the dominance of  $PE$  near the sloped surface, and the elevated maximum of  $KE$ ,  
494 followed by the level where  $KE$  starts to dominate over  $PE$  (Fig. S3.3-B): all in accordance  
495 with our analysis of linear and nonlinear energetics of the (extended) Prandtl model.  
496 Interestingly, for this observation case  $DIF$  and  $DIS$  do not balance either, so non-zero  $\partial TE/\partial t$   
497 is found (Fig. S3.3-C). The latter result suggests the existence of either nonlinear effects or  
498 other important processes in the real atmosphere, which are not taken into account in our  
499 model. However, for stronger claims and conclusions, much larger observational datasets  
500 need to be analyzed and a more comprehensive evaluation must be performed.

## 501 **5 Summary and conclusions**

502

503 In this study, we have evaluated the energetics of the linear and weakly nonlinear  
504 solutions of the (extended) Prandtl model from Grisogono et al. (2015). From an ensemble of  
505 solutions where three controlling parameters were perturbed (i.e., the Prandtl number  $Pr$ , the  
506 slope angle  $\alpha$  and the nonlinearity parameter  $\varepsilon$ ),  $KE$ ,  $PE$  and  $TE$  profiles were estimated for  
507 both katabatic and anabatic flows. Also, the governing terms in the prognostic total energy  
508 equation were examined in four groups of solutions (linear/nonlinear, katabatic/anabatic).

509 The nonlinearity effect induced small to moderate variations in the total energy  $TE$ .  
510 These variations caused the non-stationarity of  $TE$ , which is in conflict with the initially  
511 assumed stationarity of along-the-slope wind speed and potential temperature. This suggests  
512 the need for joining nonlinear and time-dependent effects in katabatic/anabatic flow as a way  
513 of circumventing the limitations of the weakly nonlinear Prandtl model as developed by  
514 Grisogono et al. (2015). At the same time, the maximum of the wind speed (and kinetic  
515 energy) in the nonlinear solution is found to be sensitive to the slope angle (this is not present  
516 in the linear solution), and is in this way comparable to LES simulations in e.g., Grisogono  
517 and Axelsen (2012). Since the time-dependent solution to the linear Prandtl model is already  
518 quite complicated (e.g., Zardi and Serafin, 2014; Grisogono, 2003), it seems unlikely that a  
519 corresponding weakly nonlinear time-dependent analytic solution to the problem could be  
520 found in an elegant form. Yet, there are indications that there might be no steady-state  
521 nonlinear solution to thermally driven slope flows (Axelsen, 2010), which agrees with our  
522 findings.

523 We have limited our analysis to the energy terms and prognostic total energy equation  
524 of the mean slope flow only. It is shown that the strongest interaction between the  $\theta$ - and  $u$ -  
525 profiles occurs at a height of around  $4/9 h_j$ , with  $h_j = \pi/4 h_p$ , i.e., about half the height of the  
526 low-level jet. Moreover, kinetic energy dominates over potential energy above  $h_p \cos^{-1}[1/(1+Pr)^{1/2}]$ ,  
527 which is typically between  $h_j$  and  $2 h_j$ . Thus this is the sublayer where dynamic  
528 instabilities might occur. It is directly, although nonlinearly, related to the corresponding  
529 gradient Richardson number, which compares the differential change of potential energy vs.  
530 kinetic energy of the flow. This number falls significantly below 1 in that sublayer. However,  
531 the height where  $KE$  starts to dominate over  $PE$  is not the height of the maximum  $KE$ . The  
532 latter is trivially the same as the height of the low-level jet, and always below the height  
533 where  $KE > PE$ .

534 A more complete energy framework would include an estimation of the potential and  
535 kinetic energy contributions from the basic state, turbulence and possibly mesoscale  
536 components (e.g. waves) in the system. Since there is still no satisfactory approach that would  
537 include the effects of sub-grid slope flows in the form of parameterizations in mesoscale and  
538 large-scale weather and climate models, greater effort should be made in order to increase the  
539 applicability of these types of models in complex orography regions (e.g., Bornemann et al.,  
540 2010).

541 Finally, the results of our simple small-ensemble exercise may be compared with  
542 observations (where care is needed to ensure high-resolution measurements in order to  
543 correctly estimate the first and second vertical derivatives in the total energy equation). A  
544 second approach to an independent evaluation of our analytical model includes the  
545 construction of the total energy budget from an ensemble of LES simulations (e.g., Grisogono  
546 and Axelsen, 2012), where non-stationarity and energetics of katabatic and anabatic flows can  
547 be further explored.

548

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550

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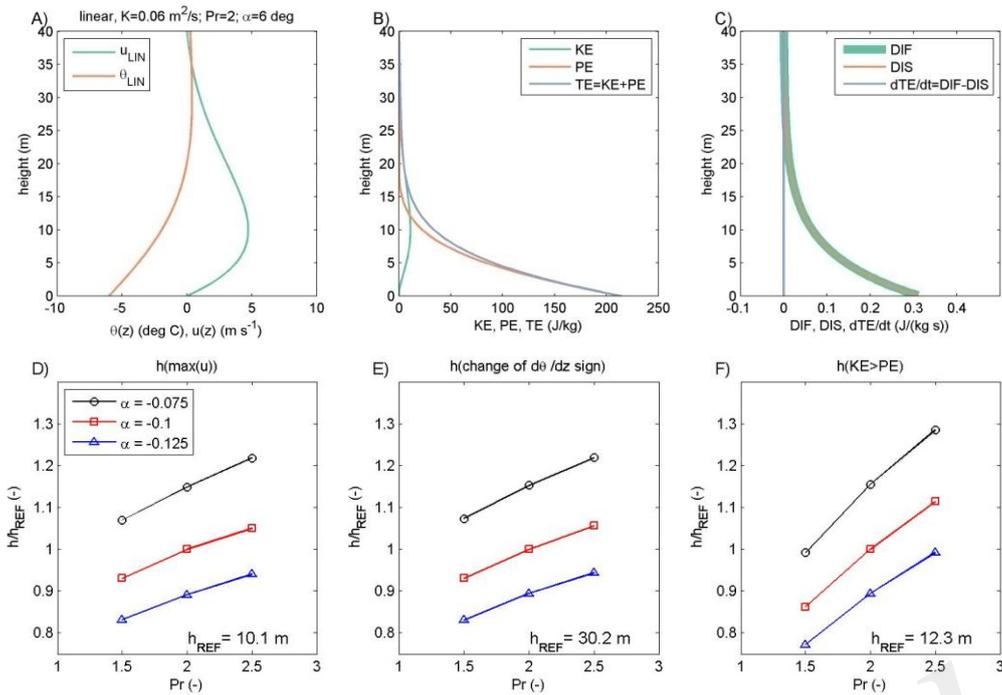
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649 **Figures**

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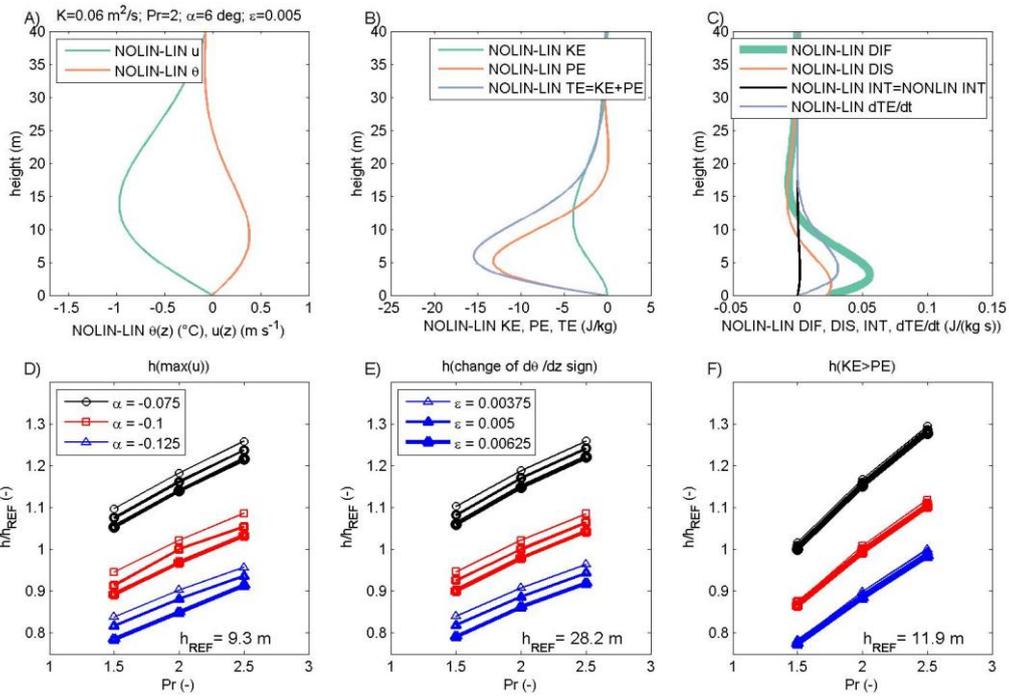
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653 Fig. 1: Vertical profiles of potential temperature  $\theta$  and wind speed  $u$  in the linear solution of  
 654 the Prandtl model for the katabatic flow (A), corresponding kinetic energy  $KE$ , potential  
 655 energy  $PE$  and total energy  $TE$  (B), and diffusion  $DIF$ , dissipation  $DIS$  and storage terms  
 656  $\partial TE/\partial t$  (C). The height of the low-level jet  $h_j$  (D), height of the stability change (E) and height  
 657 at which  $KE$  becomes larger than  $PE$  (F) as function of Prandtl number  $Pr$  (x axis) and slope  
 658 angle  $\alpha$  (different color). Heights in panels D-F are relative to the reference heights  $h_{REF}$  from  
 659 the solutions when  $Pr = 2$  and  $\alpha = -0.1$  rad.

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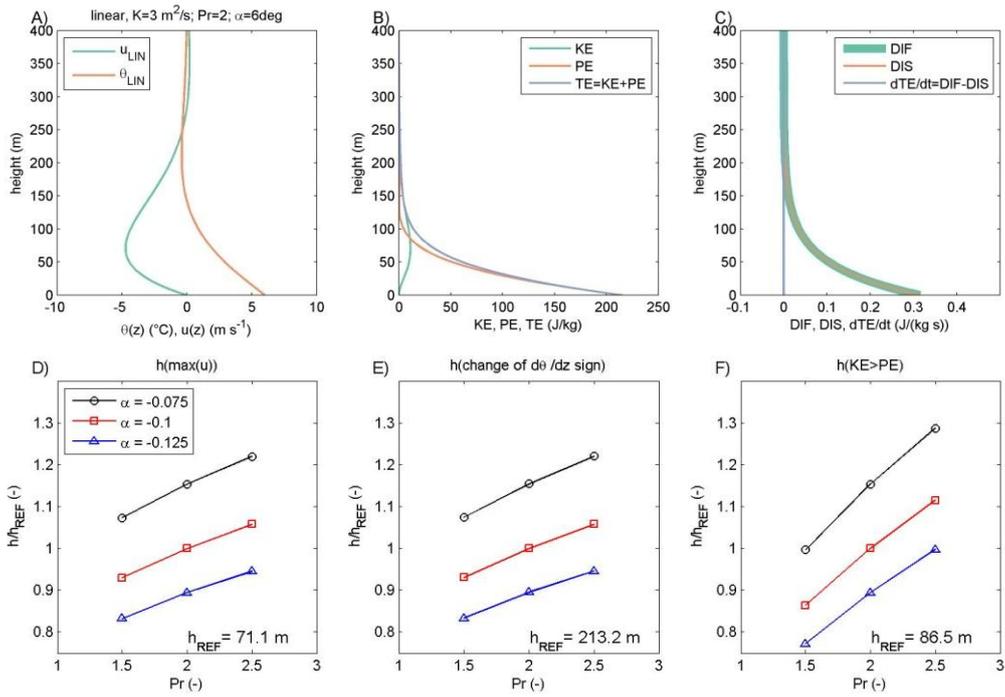


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663 Fig. 2: Differences between nonlinear and linear (Fig. 1) solutions of the (extended) Prandtl  
 664 model (cf. Grisogono et al., 2015). Panels D to F are equivalent to panels D to F in Fig. 1.  
 665 Also, sensitivity to the nonlinearity parameter  $\varepsilon$  (with increasing line thickness as  $\varepsilon$  is  
 666 increased) is included in panels D to F, while panel C includes the vertical profile of the  
 667 interaction term  $INT$  that is equal to zero in the linear case. The reference heights  $h_{REF}$  are  
 668 based on the solutions when  $Pr = 2$ ,  $\alpha = -0.1$  rad and  $\varepsilon = 0.005$ .

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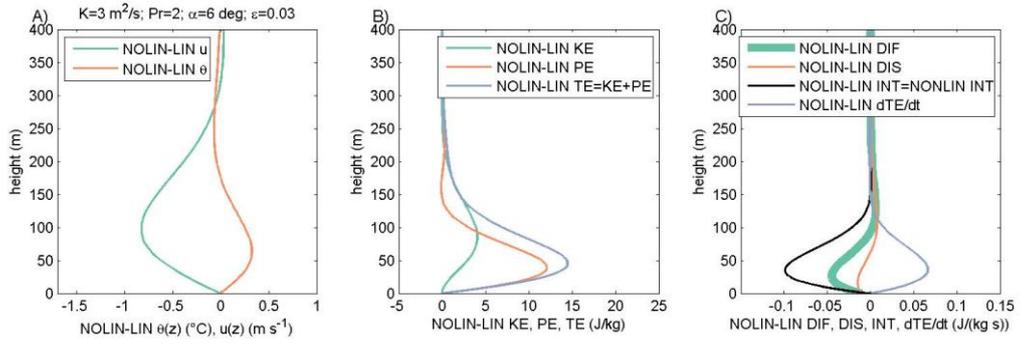
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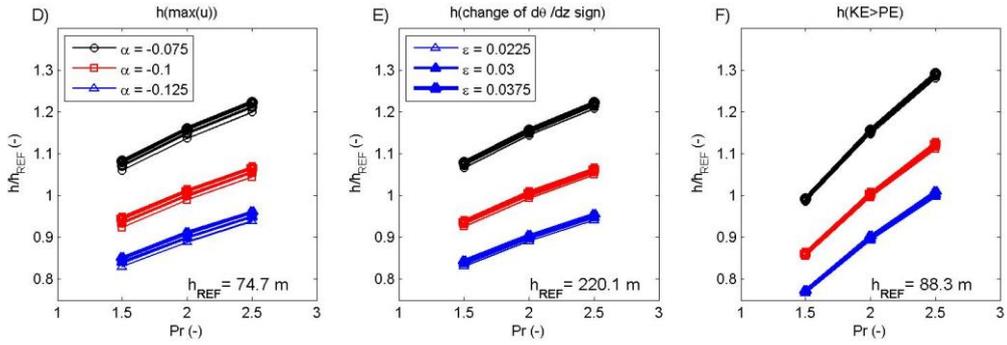
672 Fig. 3: Same as Fig. 1 but for anabatic flow.

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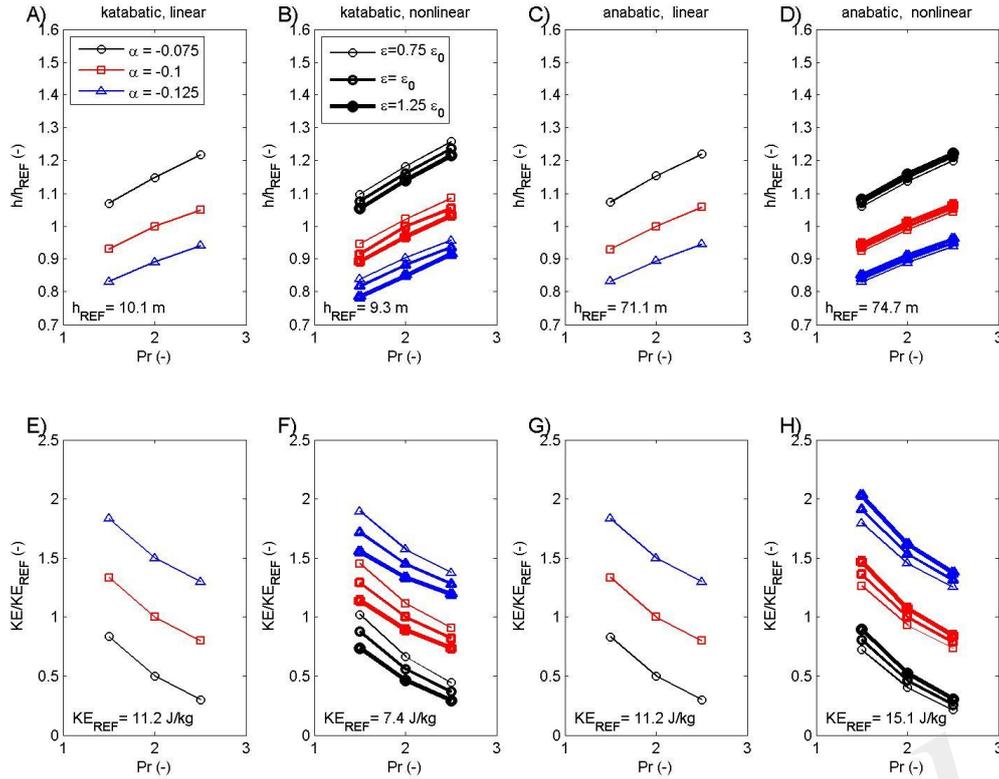


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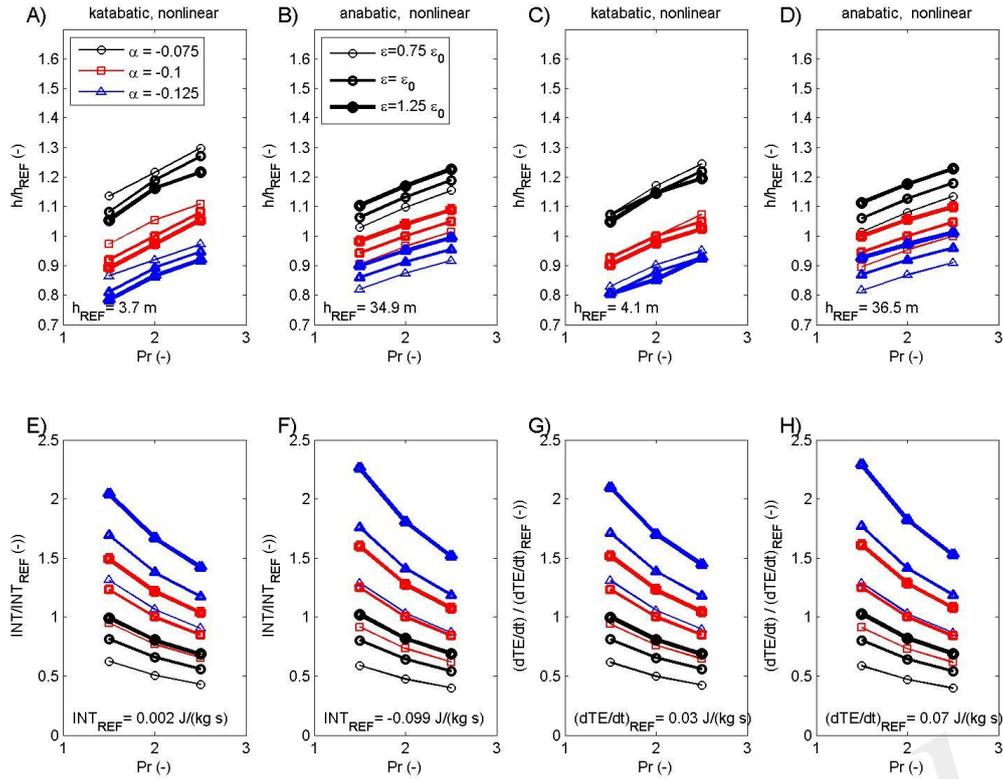
675 Fig. 4: Same as Fig. 2 but for anabatic flow and  $\epsilon = 0.03$ .

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677 Fig. 5: The height of the maximum of kinetic energy  $KE$  (panels A to D) and the maximum  
 678  $KE$  value (panels E to H) for katabatic (panels A-B and panels E-F) and anabatic (panels C-D  
 679 and panels G-H), linear (panels A,C,E,G) and nonlinear (panels B,D,F,H) cases. Selected  
 680 measures are determined as functions of the Prandtl number  $Pr$  (x axis), slope angle  $\alpha$   
 681 (different line color) and nonlinearity parameter  $\epsilon$  (different line thickness) in the case of  
 682 nonlinear solutions. Heights in panels A-D are relative to the corresponding  $h_{REF}$ . For  
 683 presentation purposes, the lines in panels E-H are shifted  $\pm 0.5$  from the reference  $\alpha = -0.1$   
 684 line (otherwise, exact overlap is present in panels E and G, and approximate overlap is present  
 685 in panels F and H).



686

687 Fig. 6: The height of the maximum of the interaction term  $INT$  (panels A-B), the height of the  
 688 maximum of the storage term  $\partial TE/\partial t$  (panels C-D), the maximum  $INT$  value (panels E-F) and  
 689 the maximum  $\partial TE/\partial t$  value (panels G-H) for katabatic (panels A,C and panels E,G) and  
 690 anabatic (panels B,D and panels F,H) nonlinear cases. Selected measures are determined as  
 691 functions of Prandtl number  $Pr$  (x axis), slope angle  $\alpha$  (different color) and nonlinearity  
 692 parameter  $\varepsilon$  (different line thickness). Values in panels are relative to the corresponding  $h_{REF}$   
 693 (panels A-D),  $INT_{REF}$  (panels E-F) and  $\partial TE/\partial t_{REF}$  (panels G-H).

## 694 *Supplementary Materials 1*

### 695 **Motivation for the use of the regular perturbation method**

696

697 The aim of this supplement is to briefly present the motivation and steps in the regular  
698 perturbation method applied to the equations describing katabatic flow in the main body of  
699 the paper.

700 The use of regular perturbative methods is found in classical textbooks and papers  
701 dealing with nonlinear equations in geophysical problems. For example, Pedlosky (1987; his  
702 pg. 203 and pg. 213): expands wind and potential temperature fields as  $u = u_0 + \Delta \cdot u_1 + \dots$   
703 as our  $\theta = \theta_0(z) + \varepsilon \cdot \theta_1 + \dots$  (with all quantities as defined in the main body of this paper).  
704 Also, Gossard and Hooke (1979, their pg. 16) define parameter  $\varepsilon$  as „... ordering parameter  
705 proportional to the deviation from the zero-order state...“, and expand all fields  $J$  (their pg.  
706 66) as  $J = J_0 + \varepsilon J_1 + \varepsilon^2 J_2 + \dots$ , while they also state explicitly that the resulting perturbation  
707 equations do not change the important hydrodynamic results by using this expansion. Similar  
708 expansions are also found in standard textbooks, e.g., Holton (1992, his pg. 119)  $\theta_{tot} =$   
709  $\theta'(x, y, z, t) + \theta_0(z)$ , which is a simplified version of the Gossard and Hooke approach  
710  $J = \theta_{tot}$ ; note that the latter summation of the perturbed and basic state is the typical  
711 simplification when linearizing a certain problem.

712

713 We can reach Eq. 2 in the main body of the paper in the following two steps:

714

#### 715 **Step 1**

716

717 The Reynolds averaged thermodynamic equation (*TDE*) intended for the atmospheric  
718 boundary layer (ABL) is given in e.g., Holton (1992, Eqn. 5.12). Its 2D form in the standard  
719  $(X, Z)$  frame (with corresponding  $(U, W)$  velocity components) is thus:

$$720 \left( \frac{\partial}{\partial t} + U \frac{\partial}{\partial X} + W \frac{\partial}{\partial Z} \right) \theta = -W \frac{d\theta_0(Z)}{dZ} - \left[ \frac{\partial}{\partial X} (\overline{U'\theta'}) + \frac{\partial}{\partial Z} (\overline{W'\theta'}) \right], \quad (S1.1)$$

721 The averaging bar is not written over the mean variables, only over the covariances of  
722 fluctuations. Assuming now a horizontally homogeneous potential temperature field, Eqn.

723 S1.1 simplifies to

$$724 \left( \frac{\partial}{\partial t} + W \frac{\partial}{\partial Z} \right) \theta = -W \frac{d\theta_0(Z)}{dZ} - \frac{\partial}{\partial Z} (\overline{W'\theta'}). \quad (S1.2)$$

725 Next, moving the 2<sup>nd</sup> term on the LHS of Eq. S1.2 to the RHS and using the standard  $K$ -  
 726 theory modifies Eqn. S1.2 to

$$727 \quad \frac{\partial \theta}{\partial t} = -W \left( \frac{d\theta_0(Z)}{dz} + \frac{\partial \theta}{\partial Z} \right) + \frac{\partial}{\partial Z} \left( K \frac{\partial \theta}{\partial Z} \right). \quad (\text{S1.3})$$

728 Note that if we performed linearization, the 2<sup>nd</sup> term on the RHS would not exist. This is the  
 729 main key point. Basically, the temperature field in the first bracket of the right-hand-side of  
 730 Eqn. S.1.3 is simply expanded to its next perturbation term, all in accord with the regular  
 731 perturbation method as shown in e.g., Gossard and Hooke (1979), Pedlosky (1987), Holton  
 732 (1992) and many other textbooks. Moreover,  $\gamma = \frac{d\theta_0(Z)}{dz}$  and  $K$  will be assumed as constant.

733

## 734 **Step 2**

735

736 The coordinate frame transformation into the tilted new frame (i.e., from  $(X, Z)$  and  
 737  $(U, W)$  to  $(x, z)$  and  $(\bar{u}, \bar{w})$ ) is done as in Denby (1999) or Stiperski et al. (2007). There,  $W$   
 738 remains the only relevant flow speed (see above S1.4). This transformation makes sense here  
 739 only if the tilting angle  $\alpha$  is reasonably small; otherwise, the original  $x$ - and  $z$ - and  $X$ - and  $Z$ -  
 740 axes would be approximately equivalent from the start and both would include buoyancy and  
 741 adiabatic cooling/warming. The small angle assumption also simplifies the gradients after the  
 742 coordinate frame transformation.

743

744 We continue with transformation  $W = \bar{u} \sin \alpha$  and Eqn. S1.3 becomes

$$745 \quad \frac{\partial \bar{\theta}}{\partial t} = - \left( \gamma + \frac{\partial \bar{\theta}}{\partial z} \right) \bar{u} \sin \alpha + \frac{\partial}{\partial z} \left( K \frac{\partial \bar{\theta}}{\partial z} \right). \quad \text{S1.4}$$

746 Since Eqn. S1.4 is a fully nonlinear equation (coupled with the along-the-slope momentum  
 747 equation), it is very difficult to solve analytically. Therefore, we perform the regular  
 748 perturbation method approach and obtain a weakly nonlinear version of Eqn. 4 in the main  
 749 body of this paper:

$$750 \quad \frac{\partial \bar{\theta}}{\partial t} = - \left( \gamma + \varepsilon \frac{\partial \bar{\theta}}{\partial z} \right) \bar{u} \sin \alpha + \frac{\partial}{\partial z} \left( K \frac{\partial \bar{\theta}}{\partial z} \right) \quad \text{S1.5.}$$

751 Once again, as said in the beginning, we expand  $\theta$  in terms of a small parameter  $\varepsilon$ , to be able  
 752 to solve Eqn. 4 asymptotically together with the momentum equation in a perturbative manner  
 753 (e.g., Pedlosky (1987), Bender and Orszag (1978), etc.). More details about how to specify  $\varepsilon$   
 754 for the simple slope flows addressed using the modified Prandtl model are described in  
 755 Grisogono et al. (2015), and briefly in the main body of this paper.

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774 *Supplementary Materials 2*

775

776 **Dependence of the level where *KE* starts to dominate over *PE* on the Prandtl number**

777

778 The level where kinetic energy *KE* starts to dominate over potential energy *PE*  
 779 (relative to the height of the low-level jet  $h_j$ ) is in the linear case equal to

780 
$$\frac{h(KE>PE)}{h_j} = \frac{4}{\pi} \cos^{-1} \left( \sqrt{\frac{1}{1+Pr}} \right). \quad (S2.1)$$

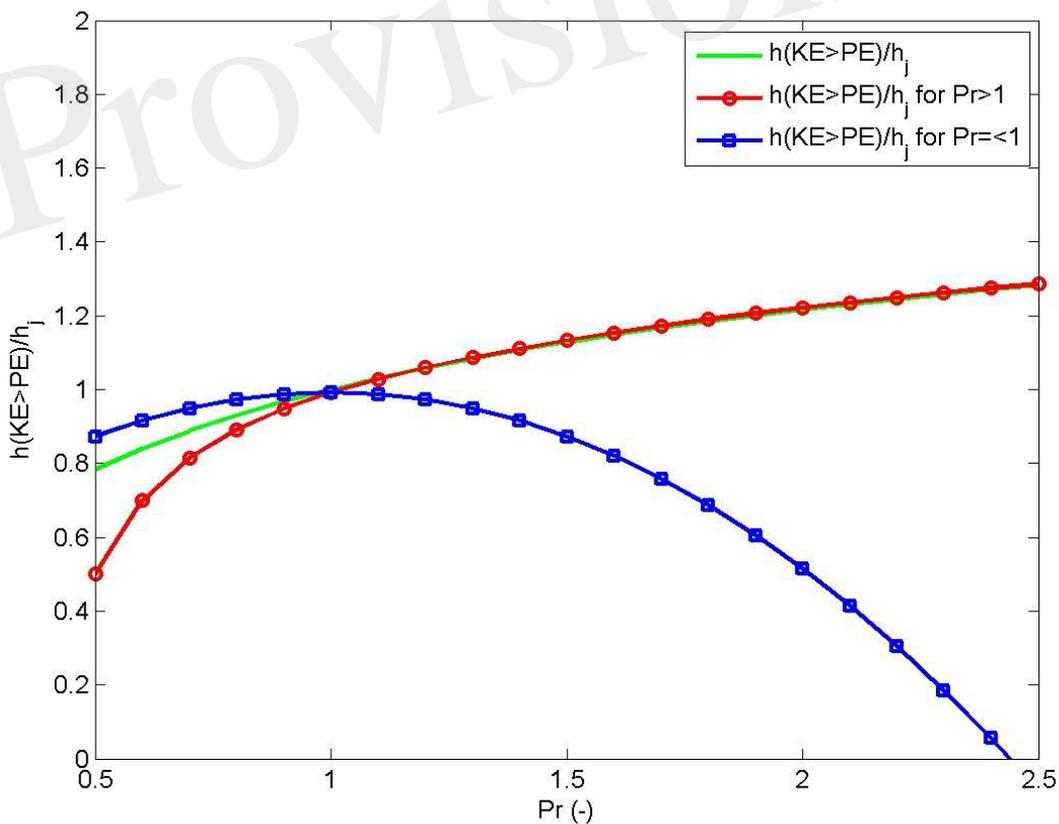
781 For  $Pr > 1$ , Eqn. S2.1 can be expanded into

782 
$$\frac{h(KE>PE)}{h_j} = \frac{4}{\pi} \left[ \frac{\pi}{2} - \frac{1}{\sqrt{Pr}} \left( 1 - \frac{1}{3Pr} + \frac{1}{8Pr^2} \dots \right) \right], \quad (S2.2)$$

783 while for  $Pr \leq 1$ , Eqn. S2.1 can be expanded into:

784 
$$\frac{h(KE>PE)}{h_j} = \frac{4}{\pi} \left( \frac{\pi}{2} - \frac{7}{6} + \frac{3}{4}Pr - \frac{3}{8}Pr^2 + \dots \right), \quad (S2.3)$$

785 and Eqns. S2.1-3 are shown in Fig. S2.1.



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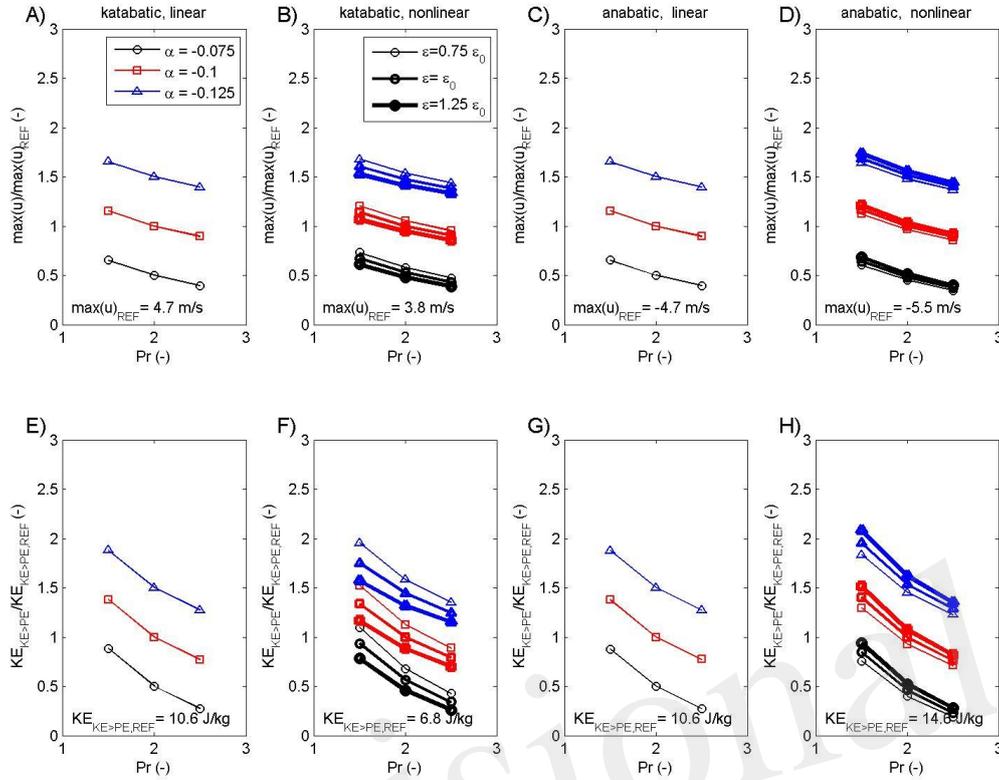
787 Fig. S2.1: The level where kinetic energy  $KE$  starts to dominate over potential energy  $PE$  (relative to the height  
788 of the low-level jet  $h_j$ ) in the linear case as described by Eqn. S2.1 (green), Eqn. S2.2 (red) and Eqn. S2.3 (blue)  
789 and keeping only terms shown in these equations.

790 This level is important because it is a sensible estimation for the layer where shear-driven  
791 instabilities may dominate the ABL flow.

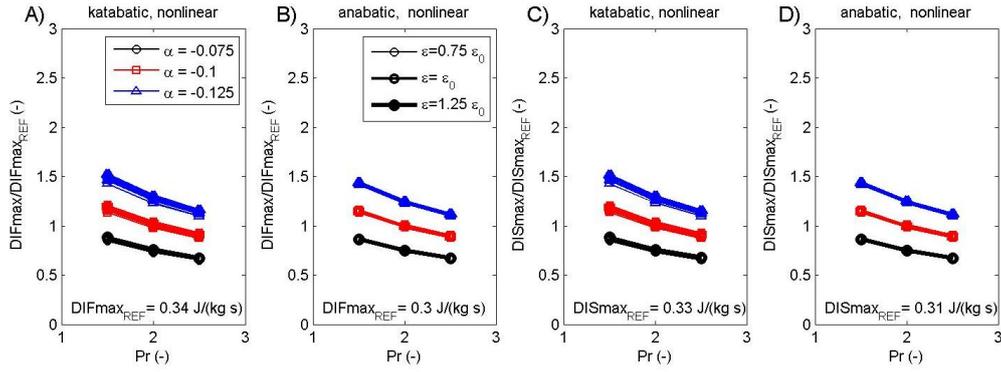
792 Additional insights may be gained by examining the ratio of vertical gradients of  $PE$   
793 and  $KE$ . With  $KE=u^2/2$  and  $PE=a\theta^2/2$ , and by applying the standard definition of the gradient  
794 Richardson number  $Ri_g=N^2/(\partial u/\partial z)^2$  we obtain the following expression:

$$\begin{aligned}
 795 \quad & \frac{\frac{\partial PE}{\partial z}}{\frac{\partial KE}{\partial z}} = \frac{\frac{\partial(a\theta^2/2)}{\partial z}}{\frac{\partial(u^2/2)}{\partial z}} \\
 & = \frac{\frac{g\theta}{\gamma\theta_0} \frac{\partial\theta}{\partial z}}{u \frac{\partial u}{\partial z}} = \frac{\frac{g}{\theta_0} \frac{\partial\theta}{\partial z}}{\frac{\partial u}{\partial z}} \cdot \frac{\theta}{\gamma u} = \frac{N^2}{\left(\frac{\partial u}{\partial z}\right)^2} \cdot \frac{\theta}{\gamma} \cdot \frac{1}{u} \frac{\partial u}{\partial z} = \\
 796 \quad & = Ri_g \left( \frac{\theta}{\gamma} \cdot \frac{1}{u} \frac{\partial u}{\partial z} \right), \tag{S2.4}
 \end{aligned}$$

797 with all other variables already defined in the main body of the paper. Eqn. S2.4 implies that a  
798 stronger vertical change of  $PE$  (or weaker vertical change of  $KE$ ) is associated with the  
799 increase of the gradient Richardson number  $Ri_g$ . Conversely, enhancing the vertical gradient  
800 of  $KE$  over the  $PE$  vertical gradient also translates into lowering the corresponding  $Ri_g$ .



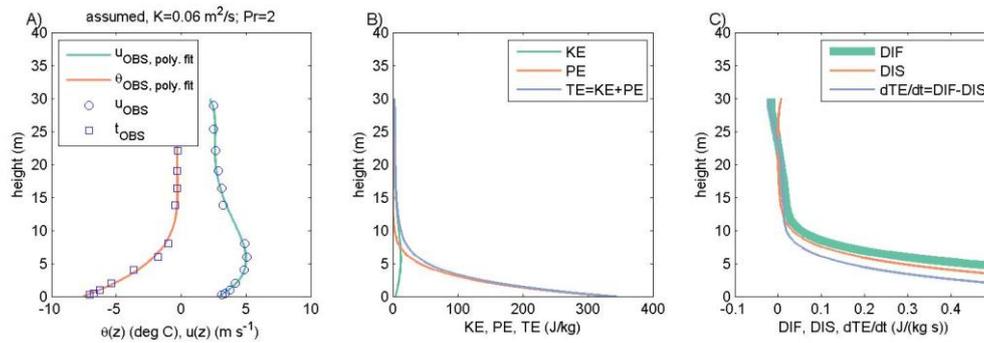
805 Fig. S3.1: The maximum of the along-slope wind speed  $u$  (panels A-D) and the amplitude of  
 806 kinetic energy  $KE$  when greater than the potential energy  $PE$  (i.e.,  $KE>PE$ ; panels E-H) for  
 807 katabatic flow (panels A,B and panels E,F) and anabatic flow (panels C,D and panels G,H) in  
 808 linear (panels A,C and panels E, G) and nonlinear solutions (panels B,D and panels F,H).  
 809 Measures are determined as functions of the Prandtl number  $Pr$  (x axis), slope angle  $\alpha$   
 810 (different color) and nonlinearity parameter  $\epsilon$  (different line thickness). Heights in panels A-D  
 811 are relative to the corresponding  $h_{REF}$  and values of  $KE_{KE>PE}$  in panels E-H are relative to the  
 812 corresponding  $KE_{KE>PE,REF}$ . For presentation purposes, lines in all panels are shifted  $\pm 0.5$   
 813 from the reference  $\alpha = -0.1$  line.



814

815 Fig. S3.2: The maximum of the diffusion term  $DIF$  (panels A-B) and the maximum of the  
816 dissipation term  $DIS$  (panels C-D) for katabatic flow (panels A,C) and anabatic flow (panels  
817 B,D) in nonlinear cases. Measures are determined as functions of the Prandtl number  $Pr$  ( $x$   
818 axis), slope angle  $\alpha$  (different color) and nonlinearity parameter  $\varepsilon$  (different line thickness).  
819 Values in the panels are relative to the corresponding  $DIF_{max}$  (panels A-B) and  $DIS_{max}$   
820 (panels C-D).

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822 Fig. S3.3 Tower and balloon wind speed and potential temperature measurements from the  
 823 PASTEX-94 experiment (cf. Grisogono et al., 2015) fitted to a 3<sup>rd</sup> order polynomial for  
 824 smoothing purposes (A). From the 3<sup>rd</sup> order polynomial, kinetic  $KE$ , potential  $PE$  and total  $TE$   
 825 energies are estimated using the same finite difference schemes as used for the analytical  
 826 solutions (B). Similar to (B), the diffusion  $DIF$ , dissipation  $DIS$  and local storage of  $TE$  (i.e.  
 827  $\partial TE/\partial t$ ) are estimated (C).

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